

FORM PTO-1390  
(REV 10-2000)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

FUK-84

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/889269

INTERNATIONAL APPLICATION NO.  
PCT/JP00/000133INTERNATIONAL FILING DATE  
January 13, 2000PRIORITY DATE CLAIMED  
January 13, 1999TITLE OF INVENTION Metal Material Having Formed Thereon Chromium Oxide  
Passive Film and Method For Producing the Same, and  
Parts Contacting with Fluid and System

APPLICANT(S) FOR DO/EO/US Tadahihiro OHMI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/88926		PCT/JP00/000133		FUK-84	

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)). \$ --00--

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	4 - 20 =	0	X \$18.00	\$ --00--	
Independent claims	2 - 3 =	0	X \$80.00	\$ --00--	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ --00--	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860.00	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2. \$ --00--

**SUBTOTAL =** \$ 860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). \$ --00--

**TOTAL NATIONAL FEE =** \$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ --00--

**TOTAL FEES ENCLOSED =** \$ 860.00

	Amount to be refunded:	\$
	charged:	\$

a. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed. Check No. 5343


b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 501157. A duplicate copy of this sheet is enclosed.

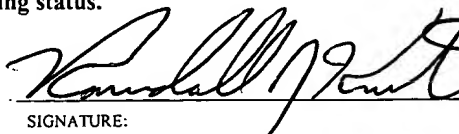
**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NO. 22855

  
**22855**

Telephone: 219-485-6001  
Facsimile: 219-486-2794

  
SIGNATURE:  
**Randall J. Knuth**  
NAME  
34,644  
REGISTRATION NUMBER

09/889269

JC05 Rec'd PCT/PTO 13 JUL 2001

Date: July 13, 2001

Commissioner of Patents and Trademarks  
Box: PCT  
Washington, DC 20231

Re: Application for United States Letters Patent

APPLICANT: Tadahiro OHMI et al.

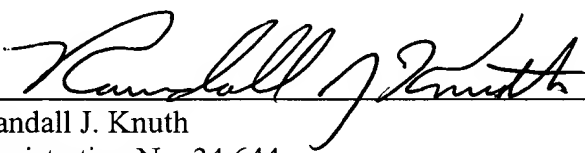
TITLE OF INVENTION: METAL MATERIAL HAVING FORMED THEREON CHROMIUM  
OXIDE PASSIVE FILM AND METHOD FOR PRODUCING THE  
SAME, AND PARTS CONTACTING WITH FLUID AND SYSTEM  
FOR SUPPLYING FLUID AND EXHAUSTING GAS

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

PCT-1390  
Substitute Specification (12 Sheets)  
Preliminary Amendment (4 Total Sheets) including  
Clean Claims (1 Sheets)  
Replacement ABSTRACT OF THE DISCLOSURE  
Verified English Translation (14 Sheets)  
Drawings (4 Sheets)  
Unexecuted Declaration

Respectfully submitted,

  
Randall J. Knuth  
Registration No. 34,644

RJK/jrw

Enclosures: As stated above.

Check No. 5343 (\$860)

Return Postcard

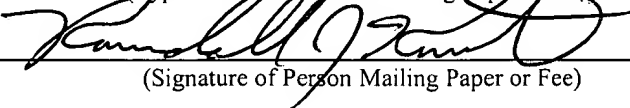
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"EXPRESS MAIL" Mailing Number EL161761140US  
Date of Deposit July 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Randall J. Knuth, Registration No. 34,644

(Typed Name of Person Mailing Paper or Fee)

  
(Signature of Person Mailing Paper or Fee)